

SMALL BUSINESS SUBCONTRACTING PLAN

SOLICITATION OR PROJECT # AZ PFH 39-1(7), Schedule B DATE 2/20/03

DTFH68-03-C-000001

Contractor's Name and Address: Hunter Contracting Company
6930 N. Camino Martin
Tucson, Arizona 85741

The following, together with any attachments, is hereby submitted as a Subcontracting Plan to satisfy the applicable requirements of Public Law 95-507.

1. (a) The following goals will be applicable to any contract awarded as a result of this solicitation.

	Dollars	% of (1)
(1) Total dollars planned to be subcontracted	<u>\$3,959,796</u>	
(2) Total dollars planned to be subcontracted to Small Business Concerns (SB)	<u>\$419,912</u>	<u>10.6%</u>
(3) Total dollars planned to be subcontracted to Small Disadvantaged Business Concerns (SDB)	<u>\$419,912</u>	<u>10.6%</u>
(4) Total dollars planned to be subcontracted to Women-owned Small Business Concerns (WBE)	<u>0</u>	<u>0</u>
(5) Total dollars planned to be subcontracted to HUBZone Small Business Concerns (HUB)	<u>0</u>	<u>0</u>
(6) Total dollars planned to be subcontracted to Veteran-owned/Service-disabled Veteran-owned Small Business Concerns (VET)/(SDVET)	<u>0</u>	<u>0</u>

In the event that you are not utilizing any of the above small business concerns, please state reason in section (b) below and describe method of determination.

(b) Principal types of work to be subcontracted.

Type of Work (i.e. paving, fence, etc.)	Proposed Subcontractor If known	Type of Concern	Estimated Cost
		SB SDB WBE HUB VET/SDVET	
Guardrail	Gonzales Const. Co.	SDB*	\$362,000
Dust Pro, Inc.	Magnesium Chloride	SDB*	\$57,912
Asphalt Milling	Dohrn Const. Co.	-	\$43,924
Blasting	Unknown	-	\$950,000
Blasting Consultant	Unknown	-	\$80,000
Survey	Stantec	-	\$215,280
MSE Walls	ABS Services	-	\$1,468,000
Landscape	Sun Valley Landscape	-	\$301,060
Rebar	Unknown	-	\$130,000
Masonry	Unknown	Possible SDB	\$63,000
Pavement Markings	Unknown	-	\$10,000
Architectural Shotcrete	Cemrock	-	\$72,000

Quality Control	AMEC	-	\$40,000
Stain MSE Walls	Arizona Rain Sprinklers	-	\$46,620
Temporary Shoring	Hark Drilling	-	\$120,000
Total			\$3,959,796

*Above SDB subcontractors are not listed on Pronet, however they are registered as DBE for State or local contracts. Trucking has not been listed as a subcontract item, however we will utilize WBE trucking companies whenever feasible.

(c) Do the above estimated costs include indirect costs? ___ Yes X No. If yes, describe the method used to determine the proportionate share of indirect costs to be included with (2) SB, (3) SDB, (4) WBE, (5) HUB, and (6) VET/SDVET concerns.

(d) The following method was used in developing subcontract goals (i.e., statement explaining how the product and service areas to be subcontracted were established, how the SB, SDB, WBE, HUB, and VET/SDVET small business concerns' capabilities were determined, and identification of source lists and organizations contacted to obtain SB, SDB, WBE, HUB, and VET/SDVET sources.)

2. The following individual will administer the subcontracting program:

Name: Jeff L. Jones

Title: Project Manager/Estimator

Address: 6930 N. Camino Martin, Tucson, Arizona 85741

Telephone: (520) 954-3047

This individual's specific duties, as they relate to the firms' subcontracting program, are as follows:

Project Manager

General overall responsibility for review, monitoring, and execution of the plan, including but not limited to:

- (a) Obtaining SB, SDB, WBE, HUB, and VET/SDVET small business sources from all possible sources.
- (b) Assuring inclusion of SB, SDB, WBE, HUB, and VET/SDVET firms in all solicitations where applicable.
- (c) Attending or arranging for attendance at Business Opportunity Workshops, Minority Business Enterprise Seminars, Trade Fairs, etc.
- (d) Conducting or arranging for the conduct of motivational training for purchasing personnel pursuant to the intent of Public Law 95-507
- (e) Monitoring attainment of proposed goals.
- (f) Reviewing solicitations to delete statements, clauses, and other provisions which may tend to prohibit SB, SDB, WBE, HUB, and VET/SDVET participation.

(g) To help develop new suppliers, the following duties are added to or deleted from those specified above:

3. The following efforts will be taken to assure that SB, SDB, WBE, HUB, and VET/SDVET small business concerns will have an equitable opportunity to compete for subcontracts:

(a) Outreach efforts will be made as follows:

- (i) contacts with minority and small business trade associations,
- (ii) contacts with business development organizations;
- (iii) attendance at small and minority business procurement conferences and trade fairs;
- (iv) sources will be requested from State highway department source lists and/or SBA's PRO-Net system at www.sba.gov.

(b) The following internal efforts will be made to guide and encourage buyers:

- (i) workshops, seminars, and training programs will be conducted;
- (ii) activities will be monitored to evaluate compliance with this subcontracting plan.

(c) SB, SDB, WBE, HUB, and VET/SDVET small business concern source lists, guides, and other data identifying SB, SDB, WBE, HUB, and VET/SDVET small business concerns will be maintained and utilized by buyers in soliciting subcontracts.

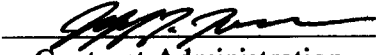
(d) Additions to (or deletions from) the above listed efforts are as follows:

4. The bidder (offeror) agrees that the clause entitled "Utilization of Small Business Concerns" will be included in all subcontracts which offer further subcontracting opportunities, and all subcontractors, except small business concerns, which receive subcontracts in excess of \$500,000 or in the case of a contract for the construction of any public facility, \$1,000,000, will be required to adopt and comply with a subcontracting plan similar to this one. Such plans will be reviewed by comparing them with the provisions of Public Law 95-507, and assuring that all minimum requirements of an acceptable subcontracting plan shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of potential small and disadvantaged subcontractors, and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports, and/or, as time and availability of funds permit, periodic visits to the subcontractor facilities or reviews of applicable records and subcontracting program progress.
5. The bidder (offeror) agrees to cooperate in any studies or surveys as may be required by the contracting agency or the Small Business Administration in order to determine the extent of compliance by the bidder (offeror) with the subcontracting plan. Additionally, the bidder (offeror) agrees to assure that its subcontractors agree to submit Standard Forms 294 and 295.
6. The bidder (offeror) agrees to maintain at least the following types of records to document compliance with this subcontracting plan:
- (a) SB, SDB, WBE, HUB, and VET/SDVET small business source lists, guides and other data identifying SB, SDB, WBE, HUB, and VET/SDVET vendors.
 - (b) Organizations contacted for SB, SDB, WBE, HUB, and VET/SDVET small business sources.

- (c) On a contract-by-contract basis, records on all subcontract solicitations over \$100,000, indicating on each solicitation (1) whether small businesses were solicited, and if not, why not; (2) whether small disadvantaged businesses were solicited, and if not, why not; (3) whether women-owned small businesses were solicited, and if not, why not; (4) whether HUBZone small businesses were solicited, and if not, why not; (5) whether veteran-owned small businesses were solicited and if not, why not; (6) whether service-disabled veteran-owned small businesses were solicited and if not, why not, and reasons for the failure of solicited SB, SDB, WBE, HUB, or VET/SDVET small businesses to receive the subcontract award.
- (d) Records to support other outreach efforts: contacts with Minority and Small Business Trade Associations, Business Development Organizations, and attendance at small and minority business procurement conferences and trade fairs.
- (e) Records to support internal activities to guide and encourage buyers: workshops, seminars, training programs, and monitoring activities to evaluate compliance.
- (f) On a contract-by-contract basis, records to support award data submitted to the Government to include name and address of subcontractor.
- (g) Records to be maintained in addition to the above are as follows:

7. The bidder (offeror) agrees to:

- (a) Assist SB, SDB, WBE, HUB, and VET/SDVET small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the bidder's (offeror's) lists of potential SB, SDB, WBE, HUB, and VET/SDVET small business subcontractors are excessively long, reasonable effort shall be made to give all such concerns an opportunity to compete over a period of time.
- (b) Provide adequate and timely consideration of the potentialities of SB, SDB, WBE, HUB, and VET/SDVET small business concerns in all "make-or-buy" decisions.
- (c) Counsel and discuss subcontracting opportunities with representatives of SB, SDB, WBE, HUB, and VET/SDVET small business firms.

Plan Prepared by:  Date 2-19-03
Contract Administration

TYPED NAME: Jeff L. Jones
TITLE: Project Manager/Estimator
FIRM: Hunter Contracting Company

Plan Accepted by:  Date 2/24/03
FHWA - CFLHD Contracting Officer

MEMORANDUM

1. The purpose of this memorandum is to inform you of the results of the investigation conducted by the FBI on the matter of the alleged activities of the [redacted] in the [redacted] area.

TO: [redacted]

FROM: [redacted]

DATE: [redacted]

SUBJECT: [redacted]

1. The results of the investigation conducted by the FBI on the matter of the alleged activities of the [redacted] in the [redacted] area are as follows:

2. It was determined that the [redacted] had been active in the [redacted] area for a period of [redacted] months.

3. The [redacted] had been active in the [redacted] area for a period of [redacted] months. The [redacted] had been active in the [redacted] area for a period of [redacted] months. The [redacted] had been active in the [redacted] area for a period of [redacted] months.

Very truly yours,